

Module Details	
Module Title	Regulation of Capital Markets and Securities
Module Code	LAW7076-B
Academic Year	2022/3
Credits	20
School	School of Law
FHEQ Level	FHEQ Level 7

Contact Hours	
Type	Hours
Seminars	24
Directed Study	176

Availability	
Occurrence	Location / Period
BDA	University of Bradford / Semester 2

Module Aims
The aim of the module is to introduce you to the complex area of capital markets and securities law and regulation and to advance your knowledge and understanding of applicable legal frameworks. You will learn about the main regulatory theories underpinning the current frameworks, the main applicable rules and principles and about the institutional arrangements made to supervise these highly significant markets

Outline Syllabus
An indicative list of topics is included below. The list is subject to change in order to better reflect new developments in Law: - Introduction of the law and regulation of capital markets and securities - Theoretical underpinnings. - Regulation of credit-rating agencies - Regulation of securities and derivatives - Regulation of market abuse - Regulation of investment firms - Regulation of public offerings - Regulation of bond markets - Regulation of financial innovation

Learning Outcomes	
Outcome Number	Description
01	Explore, at a practical and theoretical level, the theories and principles underpinning capital markets and securities law and regulation.
02	Develop an understanding of the key applicable rules of the current regulatory frameworks for capital markets and securities.
03	Apply the existing legal principles and frameworks to provide legal solutions to practical problems.
04	Carry out independent legal research in the field and communicate effectively orally and in writing using appropriate legal terminology.
01	Explore, at a practical and theoretical level, the national and international context in which FinTech and virtual assets operate.
02	Develop an understanding of the underlying philosophy and legal principles underpinning the regulatory framework for Fintech and Virtual Assets and of the main applicable rules.
03	Apply the existing legal principles and frameworks to provide legal solutions to practical problems.

Learning, Teaching and Assessment Strategy
Students will engage with a series of 12 weekly 2-hour workshops. The workshops will address the key legal concepts and frameworks about capital markets and securities and will include a set of structured learning activities (eg, discussion of pre-read academic materials) that 'scaffold' the learning. The workshops will also include tasks and discussions on practical aspects of law. Additional opportunities for self-study will be provided through the virtual learning environment. Successfully completing tasks set will require independent legal research drawing on a variety of both primary and secondary materials and written and oral presentations by you. Oral feedback will be given in the class and opportunities for written feedback will be provided. Tutors will be available to meet you individually (face-to-face or online) if requested to discuss further feedback opportunities and review your progress. Formative assessment will be made available to help you better prepare for the summative assessment. The assessment will test your knowledge and understanding of theoretical perspectives of the law and your ability to use theory to provide solutions to real world problems. It will include the submission of a written research report of 4,000 words on a law topic or combination of topics covered by the module. The purpose of the assessment will be to offer, based on your own independent research, a critical account and evaluation of a contemporary problem, concept or court case or theory about capital markets and securities law. There will be elements of formative assessment during the module which will support your development towards the final summative assessment.

Mode of Assessment			
Type	Method	Description	Weighting
Summative	Coursework - Written	Written research report on a law topic or combination of topics covered by the module. (4000 words)	100%

Reading List
To access the reading list for this module, please visit https://bradford.rl.talis.com/index.html

Please note:

This module descriptor has been published in advance of the academic year to which it applies. Every effort has been made to ensure that the information is accurate at the time of publication, but minor changes may occur given the interval between publishing and commencement of teaching. Upon commencement of the module, students will receive a handbook with further detail about the module and any changes will be discussed and/or communicated at this point.

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